

### SPECIAL

# REPORT

### USPTO FEE INCREASES

August 19, 2020

The U.S. Patent and Trademark Office (USPTO) issued final rules to adjust certain patent fees effective Friday, October 2, 2020. In general, the affected patent fees will increase by about 5%.

A chart is attached showing the current fees as well as the new fees that will be in place on October 2. In general, non-provisional patent application filing fees will increase by \$100, national phase application filing fees will increase by \$80, issue fees by \$200, and maintenance fees by \$400 (1st), \$160 (2<sup>nd</sup>), and \$300 (3rd). Small and micro entity fees also increase in proportionate amounts. The attached chart reflects the more common fees that are increasing. A full listing of the increased fees can be found on the USPTO website <a href="here">here</a>.

We recommend that your short-term planning be based at least on the enclosed revised fee schedule, which includes the 5% increase on October 2.

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Oliff PLC is a full-service Intellectual Property law firm based in historic Alexandria, Virginia. The firm specializes in patent, copyright, trademark, and antitrust law and litigation, and represents a large and diverse group of domestic and international clients, including businesses ranging from large

<sup>1</sup> The Final Rules also include a surcharge for new application filings that not in the DOCX electronic format, which becomes effective on January 1, 2022. We will address this requirement separately in more detail.

multinational corporations to small privately owned companies, major universities, and individual entrepreneurs.

This Special Report is intended to provide information about legal issues of current interest. It is not intended as legal advice and does not constitute an opinion of Oliff PLC. Readers should seek the advice of professional counsel before acting upon any of the information contained herein.

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## **USPTO** Fee Increases

Type of Fee	Current Fees			New Fees			
	Large Entity Fee	Small Entity Fee	Micro Entity Fee	Large Entity Fee	Small Entity Fee	Micro Entity Fee	
PROVISIONAL PATENT APPLICATION FEES							
Filing Fee	\$280	\$140	\$70	\$300	\$150	\$75	
UTILITY PATENT APPLICATION FEES							
Basic Filing fee	\$300	\$75	\$75	\$320	\$80	\$80	
Search Fee	\$660	\$330	\$165	\$700	\$350	\$175	
Examination Fee	\$760	\$380	\$190	\$800	\$400	\$200	
Total Utility Patent Application Fees	\$1,720	\$785	\$430	\$1,820	\$830	\$455	
PCT NATIONAL PHASE APPLICATION FEES				. ,			
Basic Filing fee	\$300	\$150	\$75	\$320	\$160	\$80	
Search Fee (with Search Report provided to USPTO)	\$520	\$260	\$130	\$540	\$270	\$135	
Examination Fee	\$760	\$380	\$190	\$800	\$400	\$200	
Total PCT National Phase Application Fees	\$1,580	\$790	\$395	\$1,660	\$830	\$415	
DESIGN PATENT APPLICATION FEES							
Basic Filing fee	\$200	\$100	\$50	\$220	\$110	\$55	
Search Fee	\$160	\$80	\$40	\$160	\$80	\$40	
Examination Fee	\$600	\$300	\$150	\$640	\$320	\$160	
Total Design Patent Application Fees	\$960	\$480	\$240	\$1,020	\$510	\$255	
PLANT PATENT APPLICATION FEES							
Basic Filing fee	\$200	\$100	\$50	\$220	\$110	\$55	
Search Fee	\$420	\$210	\$105	\$440	\$220	\$110	
Examination Fee	\$620	\$310	\$155	\$660	\$330	\$165	
Total Plant Patent Application Fees	\$1,240	\$620	\$310	\$1,320	\$660	\$330	
REISSUE PATENT APPLICATION FEES							
Basic Filing fee	\$300	\$150	\$75	\$320	\$160	\$80	
Search Fee	\$660	\$330	\$165	\$700	\$350	\$175	
Examination Fee	\$2,200	\$1,100	\$550	\$2,320	\$1,160	\$580	
Total Reissue Patent Application Fees	\$3,160	\$1,580	\$790	\$3,340	\$1,670	\$835	
APPLICATIONS THAT EXCEED 100 SHEETS OF PAPER							
(Each additional 50 sheets or fraction thereof)	\$400	\$200	\$100	\$420	\$210	\$105	
EXCESS CLAIMS FEES							
Each Independent Claim in Excess of Three	\$460	\$230	\$115	\$480	\$240	\$120	
Each Claim in Excess of 20	\$100	\$50	\$25	no change			
Multiple Dependent Claim (per application)	\$820	\$410	\$205	\$860	\$430	\$215	



Type of Fee	C	<b>Current Fees</b>			New Fees		
	Large	Small	Micro	Large	Small	Micro	
ISSUE FEES							
Utility Issue Fee	\$1,000	\$500	\$250	\$1,200	\$600	\$300	
Design Issue Fee	\$700	\$350	\$175	\$740	\$370	\$185	
Plant Issue Fee	\$800	\$400	\$200	\$840	\$420	\$210	
Reissue Issue Fee	\$1,000	\$500	\$250	\$1,200	\$600	\$300	
EXTENSION OF TIME FEES							
Extension for Response Within First Month	\$200	\$100	\$50	\$220	\$110	\$55	
Extension for Response Within Second Month	\$600	\$300	\$150	\$640	\$320	\$160	
Extension for Response Within Third Month	\$1,400	\$700	\$350	\$1,480	\$740	\$370	
Extension for Response Within Fourth Month	\$2,200	\$1,100	\$550	\$2,320	\$1,160	\$580	
Extension for Response Within Fifth Month	\$3,000	\$1,500	\$750	\$3,160	\$1,580	\$790	
APPEAL FEES							
Notice of Appeal	\$800	\$400	\$200*	\$840	\$420	\$210	
Request for Oral Hearing	\$1,300	\$650	\$325*	\$1,360	\$680	\$340	
Forwarding an Appeal to the Board	\$2,240	\$1,120	\$560*	\$2,360	\$1,180	\$590*	
MAINTENANCE FEES							
Maintenance Fee - Due at 3-1/2 years	\$1,600	\$800	\$400	\$2,000	\$1,000	\$500	
Maintenance Fee - Due at 7-1/2 years	\$3,600	\$1,800	\$900	\$3,760	\$1,880	\$940	
Maintenance Fee - Due at 11-1/2 years	\$7,400	\$3,700	\$1,850	\$7,700	\$3,850	\$1,925	
Surcharge - Late Payment Within 6 Months	\$160	\$80	\$40	\$500	\$250	\$125	
MISCELLANEOUS FEES							
Petition to Revive Unintentionally Abandoned							
Application/Patent	\$2,000	\$1,000	\$500	\$2,100	\$1,050	\$525	
Request for Continued Examination - 1st Request	\$1,300	\$650	\$325	\$1,360	\$680	\$340	
Request for Continued Examination - 2nd and Subsequent							
Request	\$1,900	\$950	\$475	\$2,000	\$1,000	\$500	
Request for Expedited Examination of a Design Application	\$900	\$450	\$225	\$1,600	\$800	\$400	
Submission of an Information Disclosure Statement	\$240	\$120	\$60	\$260	\$130	\$65	