

**SPECIAL****REPORT****USPTO PATENT FEES CHANGE  
EFFECTIVE MARCH 19, 2013****January 18, 2013**

Further to our September 14 Special Report, the United States Patent & Trademark Office ("USPTO") today published its final rules revising its fee structure under the America Invents Act (AIA). The new fee structure is planned to go into effect on March 19, 2013, with further changes on January 1, 2014. Micro entity fees will also become applicable on March 19.

As we anticipated, the USPTO's final fee schedule is substantially similar to the proposed fee schedule attached to our September 14 Special Report. In this regard, the USPTO only significantly adjusted the following fees in response to comments from the public:

- the Notice of Appeal fee has been reduced from the proposed amount of \$1,000 to \$800 for large entities, with corresponding reductions for small entities and micro entities;
- The *ex parte* reexamination fee has been reduced from the proposed amount of \$15,000 to \$12,000 for large entities, with corresponding reductions for small entities and micro entities;
- The fee for reexaminations ordered as part of supplemental examination has been reduced from the proposed amount of \$13,600 to \$12,100 for

large entities, with corresponding reductions for small entities and micro entities; and

- The fee for correction of inventorship in an application after the first Office Action on the merits has been reduced from the proposed amount of \$1,000 to \$600 for large entities, with corresponding reductions for small entities and micro entities.<sup>1</sup>

Additionally, the small entity reduction for the fee for Statutory Disclaimers, including Terminal Disclaimers, has been eliminated.

**I. Fee Changes**

As discussed in our September 14 Special Report, the new fee structure reduces the cost of obtaining a patent if prosecution is simplified, but increases the initial filing costs. It also increases costs for activities the USPTO wants to discourage, and post-issuance costs.

For example, if an application is filed and prosecuted without excess claims, extensions of time, appeals, or RCEs, the changes to the filing and issue fees combined will decrease the

---

<sup>1</sup> In addition, the fee need not be paid if the request to change inventorship is accompanied by a statement that the request is due solely to the cancellation of claims in the application.

January 18, 2013

government fees to obtain a patent by 23% relative to the current fee schedule. However, adding even a few extra independent claims will result in significantly increased patenting fees. Maintenance fees are dramatically increased.

The fee changes are detailed on the appended chart. Some of the more significant changes are listed below (fee amounts are provided for large entity fees only). The amount of change set forth below is based on current fees.

**A. Increased Fees**

- 27% increase in minimum fees for filing a utility patent application (basic, search and examination fees) (from \$1,260 to \$1,600);<sup>2</sup>
- 29% increase in the fee for each claim in excess of 20 (from \$62 to \$80);
- 68% increase in the fee for each independent claim in excess of 3 (from \$250 to \$420);
- 69% increase in the fee for presenting multiple dependent claims (from \$460 to \$780);
- 25% increase in the fee for each 50 sheets in an application in which the specification and drawings exceed 100 sheets of paper (from \$320 to \$400);
- 43% increase in the minimum fees for filing a design patent application (from \$530 to \$760);
- 33% increase in the fee for filing a first Petition for one-month Extension of Time (from \$150 to \$200);
- 29% increase in the fee for filing a first Request for Continued Examination (RCE) (from \$930 to \$1,200);
- 83% increase in the fee for filing a second or subsequent RCE (from \$930 to \$1,700);
- 63% overall increase in fees for appealing rejections to the Patent Trial and Appeal Board (PTAB), which includes a \$170 increase in the fee paid with a Notice of Appeal (from \$630 to \$800), elimination of the \$630 fee paid when submitting an Appeal Brief, a new Appeal Forwarding Fee (\$2,000) to forward the appeal file — containing the Appellant's Brief, the Examiner's Answer and any Reply Brief — to the PTAB for review, and a \$40 increase (from \$1,260 to \$1,300) in the optional oral hearing request fee;
- 39% increase in the first Maintenance Fee due at 3.5 years (from \$1,150 to \$1,600);
- 24% increase in the second Maintenance Fee due at 7.5 years (from \$2,900 to \$3,600); and
- 54% increase in the third Maintenance Fee due at 11.5 years (from \$4,810 to \$7,400);

**B. Decreased Fees**

- 54% decrease in the combined Issue and Publication Fees effective January 1, 2014 (from \$2,070 to \$960);

<sup>2</sup> Similar increases apply to PCT U.S. National Phase filing fees.

January 18, 2013

- 100% decrease, effective January 1, 2014, in the fee amount (from \$40 to \$0) for electronically submitting an assignment for recordation;
- 32% decrease in the recently increased fee for *ex parte* reexamination (from \$17,750 to \$12,000);
- 14% decrease in the fee for Processing and Treating a Request for Supplemental Examination (from \$5,140 to \$4,400), and 25% decrease in the fee for conducting an *ex parte* reexamination ordered as a result of the Supplemental Examination (from \$16,120 to \$12,100);
- 15% effective decrease (from \$27,200 to \$23,000) for *Inter Partes* Review in view of a new fee (\$9,000) for an *Inter Partes* Review Request directed to up to 20 claims (plus a per claim fee of \$200 for each claim in excess of 20), and a new *Inter Partes* Review Post Institution Fee (\$14,000) for up to 15 claims (plus a per claim fee of \$400 for each claim in excess of 15);
- 16% effective decrease (from \$35,800 to \$30,000) for Post Grant Review (including covered business method post grant review) fees in view of a new fee (\$12,000) for a Post Grant Review Request directed to up to 20 claims (plus a per claim fee of \$250 for each claim in excess of 20), and a new Post Grant Review Post Institution Fee (\$18,000) for up to 15 claims (plus a per claim fee of \$550 for each claim in excess of 15); and

- 17% decrease in the fee for requesting prioritized examination (from \$4,800 to \$4,000).

#### C. New Fees and Discounts

- Establishment of "micro entity" fees (75% discount as compared to the "small entity" 50% discount) for filing, searching, examining, issuing, appealing, and maintaining patent applications and patents;<sup>3</sup>
- Small and micro entity discounts, effective January 1, 2014, on International Application filing, processing and search fees;
- Small and micro entity discounts on fees for submission of certain Information Disclosure Statements (from \$180 to \$90 and \$45, respectively);
- A new fee (\$600) for correction of inventorship in an application after the first Office Action on the merits;
- A fee (\$400) for filing a Derivation Petition to initiate a derivation proceeding; and
- A fee (\$400) for a written request for access to a settlement agreement from an interference, Post Grant Review, or *Inter Partes* Review.

For various other fee changes, please see the attached Table of Patent Fee Changes.

---

<sup>3</sup> The definition of "micro entity" is discussed in the final rules for implementing the provisions of the AIA relating to establishing micro entity status for paying patent fees, which published in December 19, 2012. The rules will be effective beginning March 19, 2013. The definition of "micro entity" is briefly discussed below in Section III of this Special Report.

January 18, 2013

## II. Changes in Appeal and Post-Issuance Proceedings

The final rules spread out the increased appeal fees across different stages of the appeal process. Currently, the \$630 fee to file a Notice of Appeal and the \$630 fee to file a Brief must be paid before the Examiner considers the Brief. Under the new fee schedule, a smaller part of the increased fee (\$800) is paid before the Examiner considers the Brief, and the majority of the increased appeal fee (\$2,000) is paid if and when the appeal is forwarded to the PTAB after the Examiner's Answer is filed. The adjusted stages of the appeal fees should help minimize the cost when an Examiner reopens prosecution in response to an Appeal Brief.

The final rules also have adopted a "staged" fee structure for *inter partes* review, post-grant review, and covered business method review before the PTAB. For example, as noted above in Section I(B), the final rules set four separate fees for *inter partes* review, post-grant review, and covered business method review (a Request Fee; a Request Claim Fee for each claim in excess of 20; a Post Institution Fee; and a Post Institution Claim Fee for each claim in excess of 15). A petitioner would pay all of these fees upon filing a petition.

However, the final rules require the Patent Office to return fees for *post-institution* services should a further proceeding not be instituted to the extent requested. For example, all of the Post Institution Fee and Post Institution Claim Fees would be returned to the petitioner if the Patent Office does not institute a proceeding. Additionally, the Patent Office would return excess Post Institution Claim Fees paid for post-institution review of more than 15 claims if the Office only institutes the proceeding with respect to a subset of the requested claims.

## III. Micro Entity Status

Establishing micro entity status will permit a limited class of patent applicants to pay certain patent fees for filing, searching, examining, issuing, appealing, and maintaining patent applications and patents at a 75% reduced rate. Under 35 U.S.C. §123(a) and (d), there are three independent ways to establish that an application qualifies for micro entity status.

First, an applicant may pay fees at a "micro entity" rate (under 35 U.S.C. § 123(a)) if the applicant can certify that: (A) the applicant qualifies as a small entity as defined in 37 C.F.R. §1.27; (B) neither the applicant nor any inventor has been named an inventor on more than four previously filed patent applications;<sup>4</sup> (C) neither the applicant nor any inventor, in the calendar year preceding the calendar year in which the applicable fee is being paid, had a gross income, as defined in section 61(a) of the Internal Revenue Code, exceeding three times the median household income for that preceding calendar year, as most recently reported;<sup>5</sup> and (D) neither the applicant nor any inventor has assigned, granted, or conveyed, nor is under an obligation by contract or law to assign, grant, or convey, a license or other ownership interest in the

<sup>4</sup> The following applications do not count toward the four application limit: (a) applications filed in another country; (b) provisional applications; (c) international applications for which the U.S. National Stage fee was not paid; and (d) applications resulting from prior employment, if the applicant has assigned, or is under an obligation by contract or law to assign, all ownership rights in the applications as the result of the applicant's previous employment.

<sup>5</sup> The income level that will entitle an applicant to claim micro entity status (criteria (C), above) is initially set to \$150,162, based on the 2011 figures (the latest year available when the regulations were issued in December 2012). The USPTO has indicated that it will "conspicuously" post the relevant income level on its website ([www.uspto.gov](http://www.uspto.gov)).

January 18, 2013

application concerned, to an entity that would not meet (C), above. See 37 C.F.R. §1.29(a).

The second and third ways that "micro entity" status may be established are under 37 C.F.R. §1.29(d). To establish micro entity status under §1.29(d), the applicant must certify that: the applicant qualifies as a small entity as defined in 37 C.F.R. §1.27; and either (A) the applicant's employer, from which the applicant obtains the majority of the applicant's income, is a public or other non-profit, accredited or pre-accredited U.S. institution of higher education as defined in 20 U.S.C. §1001(a); or (B) the applicant has assigned, granted, conveyed, or is under an obligation by contract or law, to assign, grant, or convey, a license or other ownership interest in the particular application to such an institution of higher education. Interestingly, the institution of higher learning itself would not appear to qualify as a micro entity if it is named as the applicant. Thus, such institutions should continue to name inventors as applicants in their patent applications to take advantage of micro entity fees.

The latter two ways to establish micro entity status require that any person or entity claiming micro entity status not have assigned, granted, conveyed, or licensed, and be under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person, concern, or organization which would not qualify for small entity status. Thus, it appears that an application that qualifies for micro entity status in the third way can be licensed or even owned by a small entity that does not itself qualify for micro entity status without losing micro entity status.

The procedures for establishing micro entity status and paying fees at the micro entity rate differ from the small entity status procedures. For example, a signed certification of entitlement to micro entity status must be filed in each

application for which micro entity status is requested. Additionally, entitlement to micro entity status must be verified each time a fee is paid, although only one certification is required. Further, if entitlement to micro entity status is lost, a signed notification of loss of entitlement to micro entity status must be filed before any fee is paid at a non-micro entity rate.

Please let us know if you would like any additional information regarding establishing micro entity status and/or paying fees at the micro entity rate.

#### **IV. Recommendations**

In the upcoming weeks, we recommend the following:

(1) Identify each patent for which a maintenance fee may be paid before March 19, 2013. If the patent is to be maintained, avoid the substantial increase in maintenance fees (e.g., an increase of \$450 to \$2,590) by paying the fee on or before March 18, 2013.

(2) Identify any new applications that may be filed within the coming months. If appropriate (see below) file the new application before March 19, 2013. Filing an application before the fee change will provide a lower filing fee, and that application will likely also get the benefit of the reduced issue fee.

(3) For new applications, consider whether the difference in the fees would outweigh the implications of prosecuting an application under the different prior art laws and rules that will be in place before and after March 16, 2013. Namely, filing the application before March 16, 2013 will likely be less expensive and will result in the application being examined under the current first-to-invent system. Filing the application on or after March 19, 2013 will likely be more expensive, and may or may not result in the application being examined under the new

January 18, 2013

first-inventor-to-file system, in which the universe of prior art is generally much larger, but will not include certain types of prior art.<sup>6</sup>

(4) Identify any pending applications with outstanding USPTO due dates that fall near March 19, 2013 in which government fees for extensions of time, appeals, RCEs, or excess claims may be incurred. Submit the USPTO filing and fee on or before March 18, 2013.

(5) Identify any pending applications that require a Correction of Inventorship. If the change in inventorship is due solely to the cancellation of claims in the application, submit the request to change inventorship on or after March 19, 2013 to avoid paying the current petition fee for changing inventorship.

(6) Identify any patents in which post grant proceedings (Post-Grant Review, *Inter Partes* Review, *Ex Parte* Reexamination, Supplemental Examination) are under consideration and may be initiated on either side of March 19, 2013. Determine for each such patent whether there would be any detriment to wait to initiate such proceedings until after March 19, 2013, when the new lower fees are in place.

(7) Identify any small entity applications or patents in which the applicant(s) may be entitled to pay fees at a micro entity rate. As shown in the attached Table, micro entity fees will be half of the current small entity fees. Submit a signed certification of entitlement to micro entity status on or after March 19, 2013 and if possible defer paying fees until then so the applicable fees may be paid at a micro entity rate.

\* \* \* \* \*

*Oloff & Berridge, PLC is a full-service Intellectual Property law firm based in historic Alexandria, Virginia. The firm specializes in patent, copyright, trademark, and antitrust law and litigation, and represents a large and diverse group of domestic and international clients, including businesses ranging from large multinational corporations to small privately owned companies, major universities, and individual entrepreneurs.*

*This Special Report is intended to provide information about legal issues of current interest. It is not intended as legal advice and does not constitute an opinion of Oloff & Berridge, PLC. Readers should seek the advice of professional counsel before acting upon any of the information contained herein.*

*For further information, please contact us by telephone at (703) 836-6400, facsimile at (703) 836-2787, email at email@oliff.com or mail at 277 South Washington Street, Suite 500, Alexandria, Virginia 22314. Information about our firm can also be found on our web site, www.oliff.com.*

スペシャル・レポートの日本語版は、英語版の発行後、二週間以内にウェブ・サイトでご覧いただけます。

<sup>6</sup> See our October 31, 2012 Special Report, "Planning For March 16, 2013 U.S. First-Inventor-To-File Changes" for further information regarding the different laws and rules that will be in place before and after March 16, 2013.

## Table of Patent Fee Changes

| USPTO Patent Fees                       |  |              |          |                    |          |          |                                |                   |
|---|--|--------------|----------|--------------------|----------|----------|--------------------------------|-------------------|
| USPTO<br>Large/Small Fee<br>Codes       | Description  | Current Fees |          | Final Fee Schedule |          |          | Change in Large Entity<br>Fees |                   |
|   |  | Large        | Small    | Large              | Small    | Micro    | Dollar<br>Change               | Percent<br>Change |
| Patent Application Filing Fees          |  |              |          |                    |          |          |                                |                   |
| 1011/2011/3011                          | Basic filing fee - Utility   | 390.00       | 195.00   | 280.00             | 140.00   | 70.00    | -110.00                        | -28%              |
| 4011                                    | Basic filing fee - Utility (electronic filing for small entities)                                      | N/A          | 98.00    | N/A                | 70.00    | N/A      | -28.00                         | -29%              |
| 1051/2051/3051                          | Surcharge - Late filing fee, search fee, examination fee or oath or declaration                        | 130.00       | 65.00    | 140.00             | 70.00    | 35.00    | 10.00                          | 8%                |
| 1012/2012/3012                          | Basic filing fee - Design  | 250.00       | 125.00   | 180.00             | 90.00    | 45.00    | -70.00                         | -28%              |
| 1017/2017/3017                          | Basic filing fee - Design (CPA)  | 250.00       | 125.00   | 180.00             | 90.00    | 45.00    | -70.00                         | -28%              |
| 1013/2013/3013                          | Basic filing fee - Plant   | 250.00       | 125.00   | 180.00             | 90.00    | 45.00    | -70.00                         | -28%              |
| 1014/2014/3014                          | Basic filing fee - Reissue   | 390.00       | 195.00   | 280.00             | 140.00   | 70.00    | -110.00                        | -28%              |
| 1019/2019/3019                          | Basic filing fee - Design Reissue (CPA)  | 390.00       | 195.00   | 280.00             | 140.00   | 70.00    | -110.00                        | -28%              |
| 1005/2005/3005                          | Provisional application filing fee   | 250.00       | 125.00   | 260.00             | 130.00   | 65.00    | 10.00                          | 4%                |
| 1052/2052/3052                          | Surcharge - Late provisional filing fee or cover sheet   | 50.00        | 25.00    | 60.00              | 30.00    | 15.00    | 10.00                          | 20%               |
| 1631/2631/3631                          | Basic National Stage Fee   | 390.00       | 195.00   | 280.00             | 140.00   | 70.00    | -110.00                        | -28%              |
| 1053/2053/3053                          | Non-English specification  | 130.00       | N/A      | 140.00             | 70.00    | 35.00    | 10.00                          | 8%                |
| Patent Search Fees                      |  |              |          |                    |          |          |                                |                   |
| 1111/2111/3111                          | Utility Search Fee   | 620.00       | 310.00   | 600.00             | 300.00   | 150.00   | -20.00                         | -3%               |
| 1112/2112/3112                          | Design Search Fee  | 120.00       | 60.00    | 120.00             | 60.00    | 30.00    | 0.00                           | 0%                |
| 1113/2113/3113                          | Plant Search Fee   | 380.00       | 190.00   | 380.00             | 190.00   | 95.00    | 0.00                           | 0%                |
| 1114/2114/3114                          | Reissue Search Fee   | 620.00       | 310.00   | 600.00             | 300.00   | 150.00   | -20.00                         | -3%               |
| 1640/2640/3640                          | National Stage Search Fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)      | 0.00         | 0.00     | 0.00               | 0.00     | 0.00     | 0.00                           | 0%                |
| 1641/2641/3641                          | National Stage Search Fee - U.S. was the ISA   | 120.00       | 60.00    | 120.00             | 60.00    | 30.00    | 0.00                           | 0%                |
| 1642/2642/3642                          | National Stage Search Fee - search report prepared and provided to USPTO                               | 500.00       | 250.00   | 480.00             | 240.00   | 120.00   | -20.00                         | -4%               |
| 1632/2632/3632                          | National Stage Search Fee - all other situations   | 630.00       | 315.00   | 600.00             | 300.00   | 150.00   | -30.00                         | -5%               |
| Patent Examination Fees                 |  |              |          |                    |          |          |                                |                   |
| 1311/2311/3311                          | Utility Examination Fee  | 250.00       | 125.00   | 720.00             | 360.00   | 180.00   | 470.00                         | 188%              |
| 1312/2312/3312                          | Design Examination Fee   | 160.00       | 80.00    | 460.00             | 230.00   | 115.00   | 300.00                         | 188%              |
| 1313/2313/3313                          | Plant Examination Fee  | 200.00       | 100.00   | 580.00             | 290.00   | 145.00   | 380.00                         | 190%              |
| 1314/2314/3314                          | Reissue Examination Fee  | 760.00       | 380.00   | 2,160.00           | 1080.00  | 540.00   | 1,400.00                       | 184%              |
| 1643/2643/3643                          | National Stage Examination Fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4) | 0.00         | 0.00     | 0.00               | 0.00     | 0.00     | 0.00                           | 0%                |
| 1633/2633/3633                          | National Stage Examination Fee - all other situations  | 250.00       | 125.00   | 720.00             | 360.00   | 180.00   | 470.00                         | 188%              |
| NEW                                     | Correct Inventorship After First Action on the Merits  | N/A          | N/A      | 600.00             | 300.00   | 150.00   | N/A                            | N/A               |
| Request for Continuing Examination Fees |  |              |          |                    |          |          |                                |                   |
| 1801/2801/3801                          | Request for continued examination (RCE) (1st Request) (see 37 CFR 1.114)                               | 930.00       | 465.00   | 1,200.00           | 600.00   | 300.00   | 270.00                         | 29%               |
| NEW                                     | Request for continued examination (RCE) (2nd and subsequent)   | N/A          | N/A      | 1,700.00           | 850.00   | 425.00   | N/A                            | N/A               |
| Track I Fees                            |  |              |          |                    |          |          |                                |                   |
| 1817/2817/3817                          | Request for prioritized examination  | 4,800.00     | 2,400.00 | 4,000.00           | 2,000.00 | 1,000.00 | -800.00                        | -17%              |



## Table of Patent Fee Changes

| USPTO Patent Fees                 |  |              |          |                    |          |          |                                |                   |
|-----------------------------------|--|--------------|----------|--------------------|----------|----------|--------------------------------|-------------------|
| USPTO<br>Large/Small Fee<br>Codes | Description  | Current Fees |          | Final Fee Schedule |          |          | Change in Large Entity<br>Fees |                   |
|                                   |  | Large        | Small    | Large              | Small    | Micro    | Dollar<br>Change               | Percent<br>Change |
| Pre-Grant Publication Fees        |  |              |          |                    |          |          |                                |                   |
| 1504                              | Publication fee for early, voluntary, or normal publication - Through 12/31/2013         | 300.00       | N/A      | 300.00             | N/A      | N/A      | 0.00                           | 0%                |
| 1504                              | Publication fee for early, voluntary, or normal publication - Effective 1/1/2014         | 300.00       | N/A      | 0.00               | N/A      | N/A      | -300.00                        | -100%             |
| 1505                              | Publication fee for republication  | 300.00       | N/A      | 300.00             | N/A      | N/A      | 0.00                           | 0%                |
| Issue Fees                        |  |              |          |                    |          |          |                                |                   |
| 1501/2501/3501                    | Utility issue fee - Through 12/31/2013   | 1,770.00     | 885.00   | 1,780.00           | 890.00   | 445.00   | 10.00                          | 1%                |
| 1501/2501/3501                    | Utility issue fee - Effective 1/1/2014   | 1,770.00     | 885.00   | 960.00             | 480.00   | 240.00   | -810.00                        | -46%              |
| 1502/2502/3502                    | Design issue fee - Through 12/31/2013  | 1,010.00     | 505.00   | 1,020.00           | 510.00   | 255.00   | 10.00                          | 1%                |
| 1502/2502/3502                    | Design issue fee - Effective 1/1/2014  | 1,010.00     | 505.00   | 560.00             | 280.00   | 140.00   | -450.00                        | -45%              |
| 1503/2503/3503                    | Plant issue fee - Through 12/31/2013   | 1,390.00     | 695.00   | 1,400.00           | 700.00   | 350.00   | 10.00                          | 1%                |
| 1503/2503/3503                    | Plant issue fee - Effective 1/1/2014   | 1,390.00     | 695.00   | 760.00             | 380.00   | 190.00   | -630.00                        | -45%              |
| 1511/2511/3511                    | Reissue issue fee - Through 12/31/2013   | 1,770.00     | 885.00   | 1,780.00           | 890.00   | 445.00   | 10.00                          | 1%                |
| 1511/2511/3511                    | Reissue issue fee - Effective 1/1/2014   | 1,770.00     | 885.00   | 960.00             | 480.00   | 240.00   | -810.00                        | -46%              |
| Patent Maintenance Fees           |  |              |          |                    |          |          |                                |                   |
| 1551/2551/3551                    | Due at 3.5 years   | 1,150.00     | 575.00   | 1,600.00           | 800.00   | 400.00   | 450.00                         | 39%               |
| 1552/2552/3552                    | Due at 7.5 years   | 2,900.00     | 1,450.00 | 3,600.00           | 1,800.00 | 900.00   | 700.00                         | 24%               |
| 1553/2553/3553                    | Due at 11.5 years  | 4,810.00     | 2,405.00 | 7,400.00           | 3,700.00 | 1,850.00 | 2,590.00                       | 54%               |
| 1554/2554/3554                    | Surcharge - 3.5 year - Late payment within 6 months                                      | 150.00       | 75.00    | 160.00             | 80.00    | 40.00    | 10.00                          | 7%                |
| 1555/2555/3555                    | Surcharge - 7.5 year - Late payment within 6 months                                      | 150.00       | 75.00    | 160.00             | 80.00    | 40.00    | 10.00                          | 7%                |
| 1556/2556/3556                    | Surcharge - 11.5 year - Late payment within 6 months                                     | 150.00       | 75.00    | 160.00             | 80.00    | 40.00    | 10.00                          | 7%                |
| 1557/2557/3557                    | Surcharge after expiration - Late payment is unavoidable                                 | 700.00       | N/A      | 700.00             | 350.00   | 175.00   | 0.00                           | 0%                |
| 1558/2558/3558                    | Surcharge after expiration - Late payment is unintentional                               | 1,640.00     | N/A      | 1,640.00           | 820.00   | 410.00   | 0.00                           | 0%                |
| Patent Appeals/Interference Fees  |  |              |          |                    |          |          |                                |                   |
| 1405                              | Petitions to the Chief Administrative Patent Judge under 37 CFR 41.3                     | 400.00       | N/A      | 400.00             | N/A      | N/A      | 0.00                           | 0%                |
| 1401/2401/3401                    | Notice of appeal   | 630.00       | 315.00   | 800.00             | 400.00   | 200.00   | 170.00                         | 27%               |
| 1402/2402/3402                    | Filing a brief in support of an appeal   | 630.00       | 315.00   | 0.00               | 0.00     | 0.00     | -630.00                        | -100%             |
| NEW                               | Forwarding an appeal in an application or ex parte reexamination proceeding to the Board | N/A          | N/A      | 2,000.00           | 1,000.00 | 500.00   | 2,000.00                       | 100%              |
| NEW                               | Filing a brief in support of an appeal in an inter partes reexamination proceeding       | N/A          | N/A      | 2,000.00           | 1,000.00 | 500.00   | 2,000.00                       | 100%              |
| 1403/2403/3403                    | Request for oral hearing   | 1,260.00     | 630.00   | 1,300.00           | 650.00   | 325.00   | 40.00                          | 3%                |
| 1406                              | Petition for Inter Partes Review up to 20 claims   | 27,200.00    | N/A      | N/A                | N/A      | N/A      | N/A                            | N/A               |
| NEW                               | Inter Partes Review Request Fee  | N/A          | N/A      | 9,000.00           | N/A      | N/A      | N/A                            | N/A               |
| NEW                               | Inter Partes Review Post-Institution Fee   | N/A          | N/A      | 14,000.00          | N/A      | N/A      | N/A                            | N/A               |
|                                   | Subtotal, Inter Partes Review  | 27,200.00    | N/A      | 23,000.00          | N/A      | N/A      | -4,200.00                      | -15%              |
| 1407                              | Petition for Inter Partes Review, for each claim in excess of 20                         | 600.00       | N/A      | N/A                | N/A      | N/A      | N/A                            | N/A               |
| NEW                               | Inter Partes Review Request of Each Claim in excess of 20                                | N/A          | N/A      | 200.00             | N/A      | N/A      | N/A                            | N/A               |
| NEW                               | Inter Partes Post-Institution Request of Each Claim in excess of 15                      | N/A          | N/A      | 400.00             | N/A      | N/A      | N/A                            | N/A               |
|                                   | Subtotal, Inter Partes Review, per claim fee   | 600.00       | N/A      | 600.00             | N/A      | N/A      | 0.00                           | 0%                |



## Table of Patent Fee Changes

| USPTO Patent Fees                    |  |                  |            |                    |            |            |                             |                |
|--------------------------------------|--|------------------|------------|--------------------|------------|------------|-----------------------------|----------------|
| USPTO Large/Small Fee Codes          | Description  | Current Fees     |            | Final Fee Schedule |            |            | Change in Large Entity Fees |                |
|                                      |  | Large            | Small      | Large              | Small      | Micro      | Dollar Change               | Percent Change |
| 1408                                 | Post Grant or Covered Business Method Patent Review up to 20 claims  | 35,800.00        | N/A        | N/A                | N/A        | N/A        | N/A                         | N/A            |
| NEW                                  | Post Grant or Covered Business Method Patent Review Request  | N/A              | N/A        | 12,000.00          | N/A        | N/A        | N/A                         | N/A            |
| NEW                                  | Post Grant or Covered Business Method Patent Review Post Institution                                       | N/A              | N/A        | 18,000.00          | N/A        | N/A        | N/A                         | N/A            |
|                                      | <i>Subtotal, Post Grant or Covered Business Method Patent Review</i>                                       | <i>35,800.00</i> | <i>N/A</i> | <i>30,000.00</i>   | <i>N/A</i> | <i>N/A</i> | <i>-5,800.00</i>            | <i>-16%</i>    |
| 1409                                 | Post Grant or Covered Business Method Patent Review, for each claim in excess of 20                        | 800.00           | N/A        | N/A                | N/A        | N/A        | N/A                         | N/A            |
| NEW                                  | Post Grant or Covered Business Method Patent Review Request of Each Claim in excess of 20                  | N/A              | N/A        | 250.00             | N/A        | N/A        | N/A                         | N/A            |
| NEW                                  | Post Grant or Covered Business Method Patent Review Post-Institution Request of Each Claim in excess of 15 | N/A              | N/A        | 550.00             | N/A        | N/A        | N/A                         | N/A            |
|                                      | <i>Subtotal, Post Grant or Covered Business Method Patent Review, per claim fee</i>                        | <i>800.00</i>    | <i>N/A</i> | <i>800.00</i>      | <i>N/A</i> | <i>N/A</i> | <i>0.00</i>                 | <i>0%</i>      |
| XXXX                                 | Derivation Petition  | 400.00           | N/A        | 400.00             | N/A        | N/A        | 0.00                        | 0%             |
| 1411                                 | Request to make settlement agreement available   | 400.00           | N/A        | 400.00             | N/A        | N/A        | 400.00                      | 100%           |
| <b>Re-exam Ex Parte Fees</b>         |  |                  |            |                    |            |            |                             |                |
| 1812                                 | Request for ex parte reexamination   | 17,750.00        | N/A        | 12,000.00          | 6,000.00   | 3,000.00   | -5,750.00                   | -32%           |
| 1812                                 | Refused request for ex parte reexamination   | 4,320.00         | N/A        | 3,600.00           | 1,800.00   | 900.00     | -720.00                     | -17%           |
| <b>Excess Claims Fees</b>            |  |                  |            |                    |            |            |                             |                |
| 1201/2201/3201                       | Independent claims in excess of three  | 250.00           | 125.00     | 420.00             | 210.00     | 105.00     | 170.00                      | 68%            |
| 1202/2202/3202                       | Claims in excess of 20   | 62.00            | 31.00      | 80.00              | 40.00      | 20.00      | 18.00                       | 29%            |
| 1203/2203/3203                       | Multiple dependent claim   | 460.00           | 230.00     | 780.00             | 390.00     | 195.00     | 320.00                      | 70%            |
| 1204/2204/3204                       | Reissue independent claims in excess of three  | 250.00           | 125.00     | 420.00             | 210.00     | 105.00     | 170.00                      | 68%            |
| 1205/2205/3205                       | Reissue claims in excess of 20   | 62.00            | 31.00      | 80.00              | 40.00      | 20.00      | 18.00                       | 29%            |
| 1614/2614/3614                       | [PCT National Stage] Claims - extra independent (over three)   | 250.00           | 125.00     | 420.00             | 210.00     | 105.00     | 170.00                      | 68%            |
| 1615/2615/3615                       | [PCT National Stage] Claims - extra total (over 20)  | 62.00            | 31.00      | 80.00              | 40.00      | 20.00      | 18.00                       | 29%            |
| 1616/2616/3616                       | [PCT National Stage] Claims - multiple dependent   | 460.00           | 230.00     | 780.00             | 390.00     | 195.00     | 320.00                      | 70%            |
| <b>Patent Extension of Time Fees</b> |  |                  |            |                    |            |            |                             |                |
| 1251/2251/3251                       | Extension for response within first month  | 150.00           | 75.00      | 200.00             | 100.00     | 50.00      | 50.00                       | 33%            |
| 1252/2252/3252                       | Extension for response within second month   | 570.00           | 285.00     | 600.00             | 300.00     | 150.00     | 30.00                       | 5%             |
| 1253/2253/3253                       | Extension for response within third month  | 1,290.00         | 645.00     | 1,400.00           | 700.00     | 350.00     | 110.00                      | 9%             |
| 1254/2254/3254                       | Extension for response within fourth month   | 2,010.00         | 1,005.00   | 2,200.00           | 1,100.00   | 550.00     | 190.00                      | 9%             |
| 1255/2255/3255                       | Extension for response within fifth month  | 2,730.00         | 1,365.00   | 3,000.00           | 1,500.00   | 750.00     | 270.00                      | 10%            |
| <b>Application Size Fees</b>         |  |                  |            |                    |            |            |                             |                |
| 1081/2081/3081                       | Utility Application Size Fee - for each additional 50 sheets that exceeds 100 sheets                       | 320.00           | 160.00     | 400.00             | 200.00     | 100.00     | 80.00                       | 25%            |
| 1082/2082/3082                       | Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets                        | 320.00           | 160.00     | 400.00             | 200.00     | 100.00     | 80.00                       | 25%            |
| 1083/2083/3083                       | Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets                         | 320.00           | 160.00     | 400.00             | 200.00     | 100.00     | 80.00                       | 25%            |
| 1084/2084/3084                       | Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets                       | 320.00           | 160.00     | 400.00             | 200.00     | 100.00     | 80.00                       | 25%            |
| 1085/2085/3085                       | Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets                   | 320.00           | 160.00     | 400.00             | 200.00     | 100.00     | 80.00                       | 25%            |

## Table of Patent Fee Changes

| USPTO Patent Fees                |   |              |        |                    |          |          |                             |                |
|----------------------------------|---|--------------|--------|--------------------|----------|----------|-----------------------------|----------------|
| USPTO Large/Small Fee Codes      | Description   | Current Fees |        | Final Fee Schedule |          |          | Change in Large Entity Fees |                |
|                                  |   | Large        | Small  | Large              | Small    | Micro    | Dollar Change               | Percent Change |
| 1681/2681/3681                   | National Stage Application Size Fee - for each additional 50 sheets that exceeds 100 sheets   | 320.00       | 160.00 | 400.00             | 200.00   | 100.00   | 80.00                       | 25%            |
| <b>Revival Fees</b>              |   |              |        |                    |          |          |                             |                |
| 1452/2452/3452                   | Petition to revive unavoidably abandoned application  | 630.00       | 315.00 | 640.00             | 320.00   | 160.00   | 10.00                       | 2%             |
| 1453/2453/3453                   | Petition to revive unintentionally abandoned application  | 1,890.00     | 945.00 | 1,900.00           | 950.00   | 475.00   | 10.00                       | 1%             |
| <b>Miscellaneous Patent Fees</b> |   |              |        |                    |          |          |                             |                |
| 1808                             | Other publication processing fee  | 130.00       | N/A    | 130.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1803                             | Request for voluntary publication or republication  | 130.00       | N/A    | 130.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1802                             | Request for expedited examination of a design application   | 900.00       | N/A    | 900.00             | 450.00   | 225.00   | 0.00                        | 0%             |
| 1806/2806/3806                   | Submission of an Information Disclosure Statement   | 180.00       | N/A    | 180.00             | 90.00    | 45.00    | 0.00                        | 0%             |
| 1807                             | Processing fee for provisional applications   | 50.00        | N/A    | 50.00              | N/A      | N/A      | 0.00                        | 0%             |
| 1809/2809/3809                   | Filing a submission after final rejection (see 37 CFR 1.129(a))   | 810.00       | 405.00 | 840.00             | 420.00   | 210.00   | 30.00                       | 4%             |
| 1810/2810/3810                   | For each additional invention to be examined (see 37 CFR 1.129(b))  | 810.00       | 405.00 | 840.00             | 420.00   | 210.00   | 30.00                       | 4%             |
| 1824                             | Reexamination Petition  | 1,930.00     | N/A    | 1,940.00           | 970.00   | 485.00   | 10.00                       | 1%             |
| 1826                             | Supplemental Examination Request  | 5,140.00     | N/A    | 4,400.00           | 2,200.00 | 1,100.00 | -740.00                     | -14%           |
| 1827                             | Supplemental Examination Reexamination  | 16,120.00    | N/A    | 12,100.00          | 6,050.00 | 3,025.00 | -4,020.00                   | -25%           |
| 1828                             | Supplemental Examination document size fees; 21-50  | 170.00       | N/A    | 180.00             | 90.00    | 45.00    | 10.00                       | 6%             |
| 1829                             | Supplemental examination document size fees; each additional 50   | 280.00       | N/A    | 280.00             | 140.00   | 70.00    | 0.00                        | 0%             |
| <b>Post Issuance Fees</b>        |   |              |        |                    |          |          |                             |                |
| 1811                             | Certificate of correction   | 100.00       | N/A    | 100.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1816                             | Processing fee for correcting inventorship in a patent  | 130.00       | N/A    | 130.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1821/2821/3821                   | Reexamination independent claims in excess of three and also in excess of the number of such claims in the patent under reexamination | 250.00       | 125.00 | 420.00             | 210.00   | 105.00   | 170.00                      | 68%            |
| 1822/2822/3822                   | Reexamination claims in excess of 20 and also in excess of the number of claims in the patent under reexamination                     | 62.00        | 31.00  | 80.00              | 40.00    | 20.00    | 18.00                       | 29%            |
| 1814/2814                        | Statutory disclaimer, including terminal disclaimer   | 160.00       | 80.00  | 160.00             | N/A      | N/A      | 0.00                        | 0%             |
| <b>Patent Petition Fees</b>      |   |              |        |                    |          |          |                             |                |
| 1462/2462/3462                   | Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)  | 400.00       | N/A    | 400.00             | 200.00   | 100.00   | 0.00                        | 0%             |
| 1463/2463/3463                   | Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)   | 200.00       | N/A    | 200.00             | 100.00   | 50.00    | 0.00                        | 0%             |
| 1464/2464/3464                   | Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)  | 130.00       | N/A    | 140.00             | 70.00    | 35.00    | 10.00                       | 8%             |
| 1454/2454/3454                   | Acceptance of an unintentionally delayed claim for priority, or for filling a request for the restoration of the right of priority    | 1,410.00     | N/A    | 1,420.00           | 710.00   | 355.00   | 10.00                       | 1%             |
| 1455                             | Filing an application for patent term adjustment  | 200.00       | N/A    | 200.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1456                             | Request for reinstatement of term reduced   | 400.00       | N/A    | 400.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1457                             | Extension of term of patent   | 1,120.00     | N/A    | 1,120.00           | N/A      | N/A      | 0.00                        | 0%             |
| 1458                             | Initial application for interim extension (see 37 CFR 1.790)  | 420.00       | N/A    | 420.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1459                             | Subsequent application for interim extension (see 37 CFR 1.790)   | 220.00       | N/A    | 220.00             | N/A      | N/A      | 0.00                        | 0%             |



## Table of Patent Fee Changes

| USPTO Patent Fees              |   |              |       |                    |          |          |                             |                |
|--------------------------------|---|--------------|-------|--------------------|----------|----------|-----------------------------|----------------|
| USPTO Large/Small Fee Codes    | Description   | Current Fees |       | Final Fee Schedule |          |          | Change in Large Entity Fees |                |
|                                |   | Large        | Small | Large              | Small    | Micro    | Dollar Change               | Percent Change |
| PCT Fees - National Stage      |   |              |       |                    |          |          |                             |                |
| 1617/2617/3617                 | Search fee, examination fee or oath or declaration after thirty months from priority date   | 130.00       | 65.00 | 140.00             | 70.00    | 35.00    | 10.00                       | 8%             |
| 1618/2618/3618                 | English translation after thirty months from priority date  | 130.00       | N/A   | 140.00             | 70.00    | 35.00    | 10.00                       | 8%             |
| PCT Fees - International Stage |   |              |       |                    |          |          |                             |                |
| 1601/2601/3601                 | Transmittal fee - Through 12/31/2013  | 240.00       | N/A   | 240.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1601/2601/3601                 | Transmittal fee - Effective 1/1/2014  | 240.00       | N/A   | 240.00             | 120.00   | 60.00    | 0.00                        | 0%             |
| 1602/2602/3602                 | Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) - Through 12/31/2013 | 2,080.00     | N/A   | 2,080.00           | N/A      | N/A      | 0.00                        | 0%             |
| 1602/2602/3602                 | Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) - Effective 1/1/2014 | 2,080.00     | N/A   | 2,080.00           | 1,040.00 | 520.00   | 0.00                        | 0%             |
| 1604/2604/3604                 | Supplemental search fee when required, per additional invention - Through 12/31/2013  | 2,080.00     | N/A   | 2,080.00           | N/A      | N/A      | 0.00                        | 0%             |
| 1604/2604/3604                 | Supplemental search fee when required, per additional invention - Effective 1/1/2014  | 2,080.00     | N/A   | 2,080.00           | 1,040.00 | 520.00   | 0.00                        | 0%             |
| 1605/2605/3605                 | Preliminary examination fee - U.S. was the ISA - Through 12/31/2013   | 600.00       | N/A   | 600.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1605/2605/3605                 | Preliminary examination fee - U.S. was the ISA - Effective 1/1/2014   | 600.00       | N/A   | 600.00             | 300.00   | 150.00   | 0.00                        | 0%             |
| 1606/2606/3606                 | Preliminary examination fee - U.S. was not the ISA - Through 12/31/2013   | 750.00       | N/A   | 760.00             | N/A      | N/A      | 10.00                       | 1%             |
| 1606/2606/3606                 | Preliminary examination fee - U.S. was not the ISA - Effective 1/1/2014   | 750.00       | N/A   | 760.00             | 380.00   | 190.00   | 10.00                       | 1%             |
| 1607/2607/3607                 | Supplemental examination fee per additional invention - Through 12/31/2013  | 600.00       | N/A   | 600.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1607/2607/3607                 | Supplemental examination fee per additional invention - Effective 1/1/2014  | 600.00       | N/A   | 600.00             | 300.00   | 150.00   | 0.00                        | 0%             |
| 1619                           | Late payment fee  | VARIABLE     | N/A   | VARIABLE           | VARIABLE | VARIABLE | N/A                         | N/A            |
| 1621                           | Transmitting application to Intl. Bureau to act as receiving office - Through 12/31/2013  | 240.00       | N/A   | 240.00             | N/A      | N/A      | 0.00                        | 0%             |
| 1621                           | Transmitting application to Intl. Bureau to act as receiving office - Effective 1/1/2014  | 240.00       | N/A   | 240.00             | 120.00   | 60.00    | 0.00                        | 0%             |
| Patent Service Fees            |   |              |       |                    |          |          |                             |                |
| 8001                           | Printed copy of patent w/o color, delivery by USPS, USPTO Box, or electronic means  | 3.00         | N/A   | 3.00               | N/A      | N/A      | 0.00                        | 0%             |
| 8003                           | Printed copy of plant patent in color   | 15.00        | N/A   | 15.00              | N/A      | N/A      | 0.00                        | 0%             |
| 8004                           | Color copy of patent (other than plant patent) or SIR containing a color drawing  | 25.00        | N/A   | 25.00              | N/A      | N/A      | 0.00                        | 0%             |
| 8005                           | Patent Application Publication (PAP)  | 3.00         | N/A   | 3.00               | N/A      | N/A      | 0.00                        | 0%             |
| 8007                           | Copy of patent application as filed   | 20.00        | N/A   | 20.00              | N/A      | N/A      | 0.00                        | 0%             |
| 8008                           | Copy of patent-related file wrapper and contents of 400 or fewer pages, if provided on paper  | 200.00       | N/A   | 200.00             | N/A      | N/A      | 0.00                        | 0%             |
| 8009                           | Additional fee for each additional 100 pages of patent-related file wrapper and (paper) contents, or portion thereof                | 40.00        | N/A   | 40.00              | N/A      | N/A      | 0.00                        | 0%             |
| 8010                           | Individual application documents, other than application as filed, per document   | 25.00        | N/A   | 25.00              | N/A      | N/A      | 0.00                        | 0%             |

## Table of Patent Fee Changes

| USPTO Patent Fees                 |   |              |       |                    |       |       |                                |                   |
|-----------------------------------|---|--------------|-------|--------------------|-------|-------|--------------------------------|-------------------|
| USPTO<br>Large/Small Fee<br>Codes | Description   | Current Fees |       | Final Fee Schedule |       |       | Change in Large Entity<br>Fees |                   |
|                                   |   | Large        | Small | Large              | Small | Micro | Dollar<br>Change               | Percent<br>Change |
| 8011                              | Copy of patent-related file wrapper and contents if provided electronically or on a physical electronic medium as specified in 1.19(b)(1)(ii)   | 55.00        | N/A   | 55.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8012                              | Additional fee for each continuing physical electronic medium in single order of 1.19(b)(1)(ii)(B)  | 15.00        | N/A   | 15.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8041                              | Copy of patent-related file wrapper contents that were submitted and are stored on compact disk or other electronic form (e.g., compact disks stored in Artifact folder), other than as available in 1.19(b)(1); first physical electronic medium in a single order | 55.00        | N/A   | 55.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8042                              | Additional fee for each continuing copy of patent-related file wrapper contents as specified in 1.19(b)(2)(i)(A)  | 15.00        | N/A   | 15.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8043                              | Copy of patent-related file wrapper contents that were submitted and are stored on compact disk, or other electronic form, other than as available in 1.19(b)(1); if provided electronically other than on a physical electronic medium, per order                  | 55.00        | N/A   | 55.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8013                              | Copy of office records, except copies of applications as filed  | 25.00        | N/A   | 25.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8014                              | For assignment records, abstract of title and certification, per patent   | 25.00        | N/A   | 25.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8904                              | Library service   | 50.00        | N/A   | 50.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8015                              | List of U.S. patents and SIRs in subclass   | 3.00         | N/A   | 3.00               | N/A   | N/A   | 0.00                           | 0%                |
| 8016                              | Uncertified statement re status of maintenance fee payments   | 10.00        | N/A   | 10.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8017                              | Copy of non-U.S. document   | 25.00        | N/A   | 25.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8050                              | Petitions for documents in form other than that provided by this part, or in form other than that generally provided by Director, to be decided in accordance with merits   | AT COST      | N/A   | AT COST            | N/A   | N/A   | N/A                            | N/A               |
| 8020                              | International type search report  | 40.00        | N/A   | 40.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8902                              | Self-service copy charge, per page  | 0.25         | N/A   | 0.25               | N/A   | N/A   | 0.00                           | 0%                |
| 8021                              | Recording each patent assignment, agreement or other paper, per property - when submitted other than electronically   | 40.00        | N/A   | 40.00              | N/A   | N/A   | 0.00                           | 0%                |
| NEW                               | Recording each patent assignment, agreement or other paper, per property - when submitted electronically - Effective 1/1/2014   | 40.00        | N/A   | 0.00               | N/A   | N/A   | -40.00                         | -100%             |
| 8022                              | Publication in Official Gazette   | 25.00        | N/A   | 25.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8023                              | Labor charges for services, per hour or fraction thereof  | 40.00        | N/A   | 40.00              | N/A   | N/A   | 0.00                           | 0%                |
| 8024                              | Unspecified other services, excluding labor   | AT COST      | N/A   | AT COST            | N/A   | N/A   | N/A                            | N/A               |
| 8026                              | Handling fee for incomplete or improper application   | 130.00       | N/A   | 130.00             | N/A   | N/A   | 0.00                           | 0%                |
| 8027                              | Handling fee for withdrawal of SIR  | 130.00       | N/A   | 130.00             | N/A   | N/A   | 0.00                           | 0%                |
| <b>Patent Enrollment Fees</b>     |   |              |       |                    |       |       |                                |                   |
| 9001                              | Application fee (non-refundable)  | 40.00        | N/A   | 40.00              | N/A   | N/A   | 0.00                           | 0%                |
| 9003                              | Registration to practice or grant of limited recognition under §11.9(b) or (c)  | 100.00       | N/A   | 100.00             | N/A   | N/A   | 0.00                           | 0%                |
| 9004                              | Reinstatement to practice   | 100.00       | N/A   | 100.00             | N/A   | N/A   | 0.00                           | 0%                |
| 9005                              | Certificate of good standing as an attorney or agent  | 10.00        | N/A   | 10.00              | N/A   | N/A   | 0.00                           | 0%                |
| 9006                              | Certificate of good standing as an attorney or agent, suitable for framing  | 20.00        | N/A   | 20.00              | N/A   | N/A   | 0.00                           | 0%                |

## Table of Patent Fee Changes

| USPTO Patent Fees                 |  |              |       |                    |       |       |                                |                   |
|-----------------------------------|--|--------------|-------|--------------------|-------|-------|--------------------------------|-------------------|
| USPTO<br>Large/Small Fee<br>Codes | Description  | Current Fees |       | Final Fee Schedule |       |       | Change in Large Entity<br>Fees |                   |
|                                   |  | Large        | Small | Large              | Small | Micro | Dollar<br>Change               | Percent<br>Change |
| 9010                              | For test administration by commercial entity   | 200.00       | N/A   | 200.00             | N/A   | N/A   | 0.00                           | 0%                |
| 9011                              | For test administration by the USPTO   | 450.00       | N/A   | 450.00             | N/A   | N/A   | 0.00                           | 0%                |
| 9012                              | Review of decision by the Director of Enrollment and Discipline under §11.2(c)                       | 130.00       | N/A   | 130.00             | N/A   | N/A   | 0.00                           | 0%                |
| 9013                              | Review of decision of the Director of Enrollment and Discipline under §11.2(d)                       | 130.00       | N/A   | 130.00             | N/A   | N/A   | 0.00                           | 0%                |
| 9014                              | Application fee for person disciplined, convicted of a felony or certain misdemeanors under §11.7(h) | 1,600.00     | N/A   | 1,600.00           | N/A   | N/A   | 0.00                           | 0%                |
| 9015                              | Annual fee for registered attorney or agent in active status   | 118.00       | N/A   | 120.00             | N/A   | N/A   | 2.00                           | 2%                |
| 9016                              | Annual fee for registered attorney or agent in voluntary inactive status                             | 25.00        | N/A   | 25.00              | N/A   | N/A   | 0.00                           | 0%                |
| 9017                              | Requesting restoration to active status from voluntary inactive status                               | 50.00        | N/A   | 50.00              | N/A   | N/A   | 0.00                           | 0%                |
| 9018                              | Balance of annual fee due upon restoration to active status from voluntary inactive status           | 93.00        | N/A   | 100.00             | N/A   | N/A   | 7.00                           | 8%                |
| 9019                              | Annual fee for individual granted limited recognition  | 118.00       | N/A   | 120.00             | N/A   | N/A   | 2.00                           | 2%                |
| 9020                              | Delinquency fee for annual fee   | 50.00        | N/A   | 50.00              | N/A   | N/A   | 0.00                           | 0%                |
| 9024                              | Unspecified other services, excluding labor  | AT COST      | N/A   | AT COST            | N/A   | N/A   | N/A                            | N/A               |
| 9025                              | Registration to practice for change of practitioner type   | 100.00       | N/A   | 100.00             | N/A   | N/A   | 0.00                           | 0%                |